

## Annexure – B

**Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites**

**Data for every month ending**

<b>S N</b>	<b>Received from</b>	<b>Carried forward from previous month</b>	<b>Received during the month</b>	<b>Total Pending</b>	<b>Resolved*</b>	<b>Pending at the end of the month**</b>		<b>Average Resolution time^ (in days)</b>
						<b>Pending or less than 3 months</b>	<b>Pending for more than 3 months</b>	
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>		<b>8</b>
1	Directly from Investors	Nil	Nil	Nil	NA	Nil	Nil	NA
2	SEBI (SCORES 2.0)	Nil	Nil	Nil	NA	Nil	Nil	NA
3	Stock Exchanges	Nil	Nil	Nil	NA	Nil	Nil	NA
4	Other Sources (if any)	Nil	Nil	Nil	NA	Nil	Nil	NA
5	Grand Total	Nil	Nil	Nil	NA	Nil	Nil	NA

### Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April -2025	Nil	Nil	NA	Nil
2	May-2025				
3	June-2025				
4	July-2025				
5	Aug-2025				
6	Sept- 2025				
7	Oct-2025				
8	Nov-2025				
9	Dec- 2025				
10	Jan-2025				
11	Feb-2025				
12	March-2025				
	Grand Total				

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Received during the year	Pending at the end of the year
1	2021-22	Nil	Nil	Nil	Nil
2	2022-23	Nil	Nil	Nil	Nil
3	2023-24	Nil	Nil	Nil	Nil
4	2024-25	Nil	Nil	Nil	Nil
	Grand Total				