

Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for every month ending

S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending or less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	Nil	Nil	Nil	NA	Nil	Nil	NA
2	SEBI (SCORES 2.0)	Nil	Nil	Nil	NA	Nil	Nil	NA
3	Stock Exchanges	Nil	Nil	Nil	NA	Nil	Nil	NA
4	Other Sources (if any)	Nil	Nil	Nil	NA	Nil	Nil	NA
5	Grand Total	Nil	Nil	Nil	NA	Nil	Nil	NA

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April -2025	Nil	Nil	NA	Nil
2	May-2025	Nil	Nil	NA	Nil
3	June-2025	Nil	Nil	NA	Nil
4	July-2025	Nil	Nil	NA	Nil
5	Aug-2025	Nil	Nil	NA	Nil
6	Sept- 2025	Nil	Nil	NA	Nil
7	Oct-2025	Nil	Nil	NA	Nil
8	Nov-2025	Nil	Nil	NA	Nil
9	Dec- 2025	Nil	Nil	NA	Nil
10	Jan-2026	Nil	Nil	NA	Nil
11	Feb-2026	Nil	Nil	NA	Nil
12	March-2026	Nil	Nil	NA	Nil
	Grand Total				

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Received during the year	Pending at the end of the year
1	2021-22	Nil	Nil	Nil	Nil
2	2022-23	Nil	Nil	Nil	Nil
3	2023-24	Nil	Nil	Nil	Nil
4	2024-25	Nil	Nil	Nil	Nil
	Grand Total				