

Application No.

MICROCURVES TRADING PRIVATE LIMITED

CLIENT REGISTRATION KIT

☐ INDIVIDUAL ☐ CORPORATE ☐ HUF ☐ FIRM ☐ OTHERS

Client Code	<input type="text"/>
Client Name	<input type="text"/>

MICROCURVES TRADING PRIVATE LIMITED

CIN : U93000GJ2014PTC124048

Registered Office : Unit No. 208 & 209, 2nd Floor, Xchange Plaza,
Dalal Street Commercial Co-operative Society Ltd., Block No. 53, Road 5E,
GIFT City, Gandhi Nagar, Gujarat - 382355
Phone : 079-66120300 • Mobile : +91-8619671076
Email id : team@microcurvetrading.com
Website : www.microcurvetrading.com

Exchange	Membership No.	SEBI Registration No.	Registration Date
National Stock Exchange of India Ltd. (Cash, F&O and Currency Derivative Segment)	90224	INZ000298936	

Depository Participant : CDSL • DP ID : 12098800
DP SEBI Regn. No.: IN-DP-744-2023

Director's Name, Phone No. & Email Id:

GUNJAN KANDOI

Phone : +91-8800961449

E-mail Id : team@microcurvetrading.com

Compliance Officer's Name, Phone No. & Email Id:

PANKAJ KUMAR SINGH

Phone : 079-66120301

E-mail Id : team@microcurvetrading.com

CONTACT DETAILS FOR HANDLING INVESTOR'S GRIEVANCES

In case of any dispute / complaint, clients may communicate with the below-mentioned official of MICROCURVES TRADING PRIVATE LIMITED

Contact Person	Designation	Contact No.	E-mail Id
Pankaj Kumar Singh	Compliance Officer	079-66120300	team@microcurvetrading.com grievances@microcurvetrading.com

Details of Investor's Grievances Cell of the Stock Exchange/s :

(to be contacted if Microcurves Trading Pvt. Ltd. response to grievance/dispute is not satisfactory)

Name of Stock Exchange	Contact No.	E-mail Id
NSE	022-2659890 / 18002660050	ignse@nse.co.in
CDSL	1800225533	complaints@cdslindia.com

ACKNOWLEDGEMENT

To,

MICROCURVES TRADING PRIVATE LIMITED

Dated :

Registered Office : Unit No. 208 & 209, 2nd Floor, Xchange Plaza,
Dalal Street Commercial Co-operative Society Ltd., Block No. 53, Road 5E,
GIFT City, Gandhi Nagar, Gujarat - 382355

I/We hereby acknowledge the receipt of duly executed copy of the KYC along with Non-Mandatory pages and booklet containing standard documents i.e. Right & Obligation (Trading), Risk Disclosure Documents, Policy and Producer, Do's and Don'ts. Further I/We confirm that the supporting documents for KYC submitted by me/us are true and correct. I/We acknowledge that the other details related to my/our account are as under:

Name.....

Trading Code.....

My Email Id.....



Signature of Client

INDEX OF DOCUMENTS

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

S.No.	Name of the Document*	Brief Significance of the Document	Page No.
1.	Account Opening Form	(a) KYC Form - Document captures the basic information about the constituent and an instruction / check list.	1-16
		(b) Document captures the additional information about the constituent relevant to trading account and an instruction / check list.	17-23
2.	Declaration by HUF	Declaration by HUF	24
3.	Rights and Obligations	Document stating the Rights & Obligations of stock broker/ trading member, authorised person and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	Given to the Client with Welcome Kit
4.	Risk Disclosure Document	Document detailing risks associated with dealing in the securities market.	
5.	Guidance Note	Documents detailing do's and don'ts for trading on exchange, for the education of the investors.	
6.	Policies and Procedures	Document describing significant policies and procedure of the stock broker.	25-27
7.	Tariff Sheet	Document detailing the rate / amount of brokerage and other charges levied on the client for trading on the stock exchange(s)	28

VOLUNTARY DOCUMENTS AS PROVIDED BY MICROCURVES TRADING PRIVATE LIMITED

S.No.	Name of the Document	Brief Significance of the Document	Page No.
1.	Running Account Authorisation	Authority to the Trading Member to maintain a running account for the Client.	29
2.	Declaration for Name Mismatch	Declaration for Name Mismatch	30
3.	Additional Rights & Obligations	Additional Rights & Obligations agreed to between the Trading Member and Client	31-35
4.	Electronic Contract Note (ECN)	Authority to provide the Contract Note electronically via email.	36
5.	PMLA Declaration	Declaration for PMLA	37
6.	Client's Defaulter Declaration	Client's Non-defaulter declaration	37
7.	SMS & E-mail Alerts	Authorisation for SMS & E-mail alerts to the clients given by the Exchanges.	38
8.	Specimen of Board Resolution	Specimen of Board Resolution	39
1.	CDSL KYC		40-48

NOTES:

- A. Kindly note that information provided in the Client Registration Kit shall not be disclosed to any person/entity except in accordance with law**
- B. Kindly also note that MICROCURVES TRADING PRIVATE LIMITED also does Proprietary (Own A/c) trading in addition to Clients' trading.**

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CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual

Important Instructions:

- A) Fields marked with "*" are mandatory fields.
B) Tick (✓) wherever applicable.
C) Please fill the form in English and in BLOCK letters.
D) Please fill the date in DD-MM-YYYY format.
E) For particular section update, please tick (✓) in the box section number and strike off the sections not required to be updated.
F) Please read section wise detailed guidelines / instructions at the end.
G) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
H) List of two character ISO 3166 country codes is available at the end.
I) KYC number of applicant is mandatory for update application.
J) The 'OTP based E-KYC' check box is to be checked to accounts opened using OTP based E-KYC in non-face to face mode.

MICROCURVES TRADING PRIVATE LIMITED

For office use only

(To be filled by financial institution)

Application Type*

☐ New

☐ Update

KYC Number

(Mandatory for KYC update request)

Account Type*

☐ Normal

☐ Minor

☐ Aadhaar OTP based E-KYC (in non-face to face mode)

☐ 1. PERSONAL DETAILS (Please refer instruction A at the end)

	Prefix	First Name	Middle Name	Last Name
<input type="checkbox"/> Name* (Same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender	
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others	
PAN*	<input type="text"/>	<input type="checkbox"/> Form 60 furnished		
Citizenship*	<input type="checkbox"/> IN- Indian	<input type="checkbox"/> Others (ISO 3166 Country Code <input type="text"/>)		
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian		
	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		

☐ 2. PROOF OF IDENTITY AND ADDRESS* (Please refer instruction B at the end)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- ☐ A- Passport Number
☐ B- Voter ID Card
☐ C- Driving Licence
☐ D- NREGA Job Card
☐ E- National Population Register Letter
☐ F- Proof of possession of Aadhaar
II. ☐ E- KYC Authentication
III. ☐ Offline verification of Aadhaar

☐ PHOTO*



Signature / Thumb Impression

Address

Line 1*	<input type="text"/>
Line 2	<input type="text"/>
Line 3	<input type="text"/>
District*	<input type="text"/>
Pin / Post Code*	<input type="text"/>
City / Town / Village*	<input type="text"/>
State / U.T Code*	<input type="text"/>
ISO 3166 Country Code*	<input type="text"/>

☐ 3. CURRENT ADDRESS DETAILS (Please refer instruction B at the end)

☐ Same as above mentioned address (in such cases address details as below need not to be provided)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- ☐ A- Passport Number
☐ B- Voter ID Card
☐ C- Driving Licence
☐ D- NREGA Job Card
☐ E- National Population Register Letter
☐ F- Proof of possession of Aadhaar
II. ☐ E- KYC Authentication
III. ☐ Offline verification of Aadhaar
IV. ☐ Deemed Proof of Address - Document type Code

Address

Line 1*	<input type="text"/>
Line 2	<input type="text"/>
Line 3	<input type="text"/>
District*	<input type="text"/>
Pin / Post Code*	<input type="text"/>
City / Town / Village*	<input type="text"/>
State / U.T Code*	<input type="text"/>
ISO 3166 Country Code*	<input type="text"/>

4. CONTACT DETAILS (All communications will be sent to Mobile number / Email-ID provided) (Please refer instruction **C** at the end)

Tel. (Off) - Tel. (Res) - Mobile -

Email ID

5. REMARKS (If any)

6. APPLICANT DECLARATION

- I hereby declare that the KYC details furnished by me are true and correct to the best of my knowledge and belief and I under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from KRA/CERSAI (CKYC) through SMS/Email on the above registered number/Email address.
- I am also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I hereby consent to sharing my masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

1

Signature / Thumb Impression of Applicant

[illegible]

7. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copies ☐ E-KYC data received from UIDAI ☐ Data received from Offline Verification ☐ Digital KYC Process
☐ Equivalent e-document ☐ Video Based KYC ☐ IPV Done

KYC / IN-PERSON VERIFICATION CARRIED OUT BY

Date	D	D	M	M	Y	Y	Y	Y	Place								
Emp. Name																	
Emp. Code																	
Emp. Designation																	
Emp. Branch																	

[Employee Signature]

INSTITUTION DETAILS

Name **MICROCURVES TRADING PRIVATE LIMITED**

Code

[Signature of Authorised Signatory]

[Institution Stamp]

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Related Person

A) Fields marked with '*' are mandatory fields.
B) Tick '✓' wherever applicable.
C) Please fill the form in English and in BLOCK letters.
D) Please fill the date in DD-MM-YYYY format.
E) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

F) Please read section wise detailed guidelines / instructions at the end.
G) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
H) List of two character ISO 3166 country codes is available at the end.
I) KYC number of applicant is mandatory for update application.

MICROCURVES TRADING PRIVATE LIMITED

For office use only Application Type* ☐ New ☐ Update ☐ Delete
(To be filled by financial institution) KYC Number

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(Mandatory for KYC update request)

☐ Addition of Related Person ☐ Deletion of Related Person ☐ Updation KYC Number of Related Person (if available*)

Related Person Type*	<input type="checkbox"/> Guardian of Minor <input type="checkbox"/> Assignee <input type="checkbox"/> Authorized Representative			
	Prefix	First Name	Middle Name	Last Name
Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
(If KYC number and name are provided, below details are optional)				
Maiden Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	<input type="text"/>			
Gender*	<input type="checkbox"/> M- Male <input type="checkbox"/> F- Female <input type="checkbox"/> T-Transgender			
PAN*	<input type="text"/>		<input type="checkbox"/> Form 60 furnished	

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

<input type="checkbox"/>	A- Passport Number	<div style="border: 1px solid black; width: 60px; height: 20px;"></div>
<input type="checkbox"/>	B- Voter ID Card	<div style="border: 1px solid black; width: 180px; height: 20px;"></div>
<input type="checkbox"/>	C- Driving Licence	<div style="border: 1px solid black; width: 100px; height: 20px;"></div>
<input type="checkbox"/>	D- NREGA Job Card	<div style="border: 1px solid black; width: 190px; height: 20px;"></div>
<input type="checkbox"/>	E- National Population Register Letter	<div style="border: 1px solid black; width: 270px; height: 20px;"></div>
<input type="checkbox"/>	F- Proof of possession of Aadhaar	<div style="border: 1px solid black; width: 180px; height: 20px; background-color: #f0f0f0;"></div>
II <input type="checkbox"/>	E-KYC Authentication	<div style="border: 1px solid black; width: 180px; height: 20px; background-color: #f0f0f0;"></div>
III <input type="checkbox"/>	Offline verification of Aadhaar	<div style="border: 1px solid black; width: 180px; height: 20px; background-color: #f0f0f0;"></div>

 PHOTO*

[illegible]☐ Same as above mentioned address (in such cases address details as below need not be provided)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

<input type="checkbox"/> A- Passport Number	<input type="text"/>
<input type="checkbox"/> B- Voter ID Card	<input type="text"/>
<input type="checkbox"/> C- Driving Licence	<input type="text"/>
<input type="checkbox"/> D- NREGA Job Card	<input type="text"/>
<input type="checkbox"/> E- National Population Register Letter	<input type="text"/>
<input type="checkbox"/> F- Proof of possession of Aadhaar	<input type="text"/>

II ☐ E-KYC Authentication

III ☐ Offline verification of Aadhaar

IV ☐ Deemed PoA

V ☐ Self Declaration

Address

Line 1*																					
Line 2																					
Line 3																City / Town / Village*					
District*						Pin / Post Code*						State / U.T. Code*			ISO 3166 Country Code*						

☐ **4. CONTACT DETAILS**

Tel. (Off)			Tel. (Res)			Mobile		
FAX			Email ID					

☐ **5. REMARKS (If any)**

6. APPLICANT DECLARATION

- I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/we am/are aware that I/we may be held liable for it.
- I/We hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.



[Signature / Thumb Impression]

Signature / Thumb Impression of Applicant

Date :

D	D
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 -

M	M
---	---

 -

Y	Y	Y	Y
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Place :

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7. ATTESTATION / FOR OFFICE USE ONLY

Documents Received

<input type="checkbox"/> Certified Copies	<input type="checkbox"/> E-KYC data received from UIDAI	<input type="checkbox"/> Data received from Offline verification
<input type="checkbox"/> Digital KYC process	<input type="checkbox"/> Equivalent e-document	<input type="checkbox"/> Video Based KYC

KYC VERIFICATION CARRIED OUT BY**INSTITUTION DETAILS**

Date

D	D
---	---

 -

M	M
---	---

 -

Y	Y	Y	Y
---	---	---	---

Emp. Name

--	--	--	--	--	--	--	--	--	--

Emp. Code

--	--	--	--	--	--	--	--	--	--

Emp. Designation

--	--	--	--	--	--	--	--	--	--

Emp. Branch

--	--	--	--	--	--	--	--	--	--

Name **MICROCURVES TRADING PRIVATE LIMITED**Code

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

[Employee Signature]

[Institution Stamp]

Know Your Client (KYC)
Application Form (For Individuals Only)

MICROCURVES TRADING PRIVATE LIMITED

Please fill in ENGLISH and in BLOCK LETTERS with black ink

Application No. :

A. Identity Details (please see guidelines overleaf)

1. Name of Applicant (As appearing in supporting identification document).

Name

Father's/Spouse Name

2. Gender ☐ Male ☐ Female B. Marital status ☐ Single ☐ Married C. Date of Birth

3. Nationality ☐ Indian ☐ Other (Please specify)

4. Status Please tick (✓) ☐ Resident Individual ☐ Non Resident ☐ Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

5. PAN Please enclose a duly attested copy of your PAN Card

Unique Identification Number (UID)/Aadhaar, if any:

6. Proof of Identity submitted for PAN exempt cases Please Tick (✓)

☐ UID (Aadhaar) ☐ Passport ☐ Voter ID ☐ Driving Licence ☐ Others

PHOTOGRAPH

Please affix
the recent passport
size photograph and
sign across it

B. Address Details (please see guidelines overleaf)

1. Address for Correspondence

City / Town / Village

State

Country

Pin Code

2. Contact Details

Tel. (Off.) (ISD) (STD)

Mobile (ISD) (STD)

E-Mail Id.

Tel. (Res.) (ISD) (STD)

Fax (ISD) (STD)

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

☐ Passport ☐ Ration Card ☐ Registered Lease/Sale Agreement of Residence ☐ Driving License ☐ Voter Identity Card ☐ *Latest Bank A/c Statement/Passbook

☐ *Latest Telephone Bill (only Land Line) ☐ *Latest Electricity Bill ☐ *Latest Gas Bill ☐ Others (Please specify)

*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant

City / Town / Village

State

Country

Pin Code

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

☐ Passport ☐ Ration Card ☐ Registered Lease/Sale Agreement of Residence ☐ Driving License ☐ Voter Identity Card ☐ *Latest Bank A/c Statement/Passbook

☐ *Latest Telephone Bill (only Land Line) ☐ *Latest Electricity Bill ☐ *Latest Gas Bill ☐ Others (Please specify)

*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

6. Any other information :

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place:

Date:

SIGNATURE OF APPLICANT

4

FOR OFFICE USE ONLY

IPV Done ☐ on

AMC/Intermediary name OR code

MICROCURVES TRADING PRIVATE LIMITED

☐ (Originals Verified) Self Certified Document copies received

☐ (Attested) True copies of documents received

Main Intermediary

Seal/Stamp of the intermediary should contain

Staff Name

Designation

Name of the Organization

Signature

Date

Seal/Stamp of the intermediary should contain

Staff Name

Designation

Name of the Organization

Signature

Date

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository Participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark Sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI) : - List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D)
2. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): - List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.

5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/ Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account Power of Attorney given by FII/sub account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse shall be acceptable, subject to the submission of proof of relationship alongwith the same.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. Additional documents to be submitted in case you wish to trade on Futures and Options and/or Currency Derivatives Segments (provide any one).

- Copy of ITR Acknowledgement
- Copy of Annual Accounts
- In case of salary income - Salary Slip, Copy of Form 16
- Net Worth Certificate
- Copy of Demat Account Holding Statement
- Bank Account Statement for last 6 months
- Any other relevant documents substantiating ownership of assets
- Self declaration with relevant supporting documents.

Please Submit the KYC Documents on A4 Size Paper Only.

FATCA & CRS Declaration (Individual)

[illegible][illegible]

Place of Birth | | | | | Country of Birth | | | | |

Nationality

Annual Income ☐ Below Rs. 1 Lac ☐ Rs. 1 Lac to 5 Lac ☐ Rs. 5 Lac to 10 Lac
☐ Rs. 10 Lac to 25 Lac ☐ Rs. 25 Lac to 1 Crore ☐ >1 Crore

Net Worth **Amount Rs**..... Net Worth as on | D | D | M | M | Y | Y | Y | Y

(Net worth should not be older than 1 year)

Occupational ☐ Business ☐ Private Sector ☐ Professional ☐ Government Service ☐ Public Sector
Detail ☐ Agriculturist ☐ Housewife ☐ Student ☐ Retired ☐ Forex Dealer ☐ Others Pl. Specify

Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (RPEP) ☐

Are you a tax resident of any country other than India ☐ Yes ☐ No

If yes please indicates the all countries in which you are resident for tax purpose and the associated Tax ID number below.

Sr. No.	Country	Tax Identification Number	Identification Type (TIN or Other, please specify)
1.			
2.			
3.			

DECLARATION

I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform MICROCURVES TRADING PRIVATE LIMITED for any modification to this information promptly.

I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOL).

5 

Date : | D | D | M | M | Y | Y | Y | Y |

Place : |

For Investor convenience, MICROCURVES TRADING PRIVATE LIMITED collecting this mandatory information for updating across all Group Entities of MICROCURVES TRADING PRIVATE LIMITED whether you are already an investor or would become an investor in future.

Please submit the form fully filled, signed, for all the holders, separately, and submit at our Regd./Corp. office or you can dispatch the hard copy to-

MICROCURVES TRADING PRIVATE LIMITED

Registered Office : Unit No. 208 & 209, 2nd Floor, Xchange Plaza, Dalal Street Commercial Co-operative Society Ltd., Block No. 53, Road 5E, GIFT City, Gandhi Nagar, Gujarat - 382355

• For Detail Terms & Conditions please visit www.microcurvetrading.com

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A) Fields marked with '*' are mandatory fields.
B) Tick '✓' wherever applicable.
C) Please fill the date in DD-MM-YYYY format.
D) Please fill the form in English and in BLOCK letters.
E) KYC number of applicant is mandatory for update application.
F) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
G) List of two character ISO 3166 country codes is available at the end.
H) Please read section wise detailed guidelines / instructions at the end.
I) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

MICROCURVES TRADING PRIVATE LIMITED

For office use only

(To be filled by financial institution)

Application Type*	<input type="checkbox"/> New <input type="checkbox"/> Update
KYC Number	<div style="border: 1px solid black; width: 80px; height: 20px;"></div> (Mandatory for KYC update request)

[illegible]

<input type="checkbox"/> Officially void document(s) in respect of person authorised to transact		
<input type="checkbox"/> Certificate of Incorporation / Formation	<input type="text"/>	<input type="checkbox"/> Registration Certificate <input type="text" value="Regn. Certificate No."/>
<input type="checkbox"/> Memorandum and Articles of Association	<input type="checkbox"/> Partnership Deed	<input type="checkbox"/> Trust Deed
<input type="checkbox"/> Resolution of Board / Managing Committee	<input type="checkbox"/> Power or attorney granted to its manager, officers or employees to transact on its behalf	
<input type="checkbox"/> Activity Proof - 1 (For Sole Proprietorship Only)	<input type="checkbox"/> Activity Proof - 2 (For Sole Proprietorship Only)	

3.2 Local Address in India (If different from Above)*

Proof of Address*	<input type="checkbox"/> Certificate of Incorporation / Formation										<input type="checkbox"/> Registration Certificate										<input type="checkbox"/> Other Document																													
Line 1*																																																		
Line 2																																																		
Line 3																															City / Town / Village*																			
District											Pin / Post Code*										State / U.T. Code*										ISO 3166 Country Code*																			

3.1 Registered Office Address / Place of Business*

[illegible]

Tel. (Off)	<input type="text"/>	FAX	<input type="text"/>
Mobile	<input type="text"/>	Email ID	<input type="text"/>
Mobile	<input type="text"/>	Email ID	<input type="text"/>

5. NUMBER OF RELATED PERSONS (Please refer instruction **E** at the end)

- I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/we am/are aware that I/we may be held liable for it.
- I/we hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Signature / Thumb Impression of Authorised Person(s)

[illegible]

Documents Received ☐ Certified Copy ☐ Equivalent e-document

Identity Verification	<input type="checkbox"/> Done	Date	<input type="text" value="DD"/>	<input type="text" value="DD"/>	-	<input type="text" value="MM"/>	<input type="text" value="MM"/>	-	<input type="text" value="YY"/>	<input type="text" value="YY"/>	<input type="text" value="YY"/>	<input type="text" value="YY"/>
Emp. Name	<input type="text"/>											
Emp. Code	<input type="text"/>											
Emp. Designation	<input type="text"/>											
Emp. Branch	<input type="text"/>											

Name **MICROCURVES TRADING PRIVATE LIMITED**

Code

Annexure A2 | Legal Entity / Other than Individuals

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Related Person

Important Instructions:

- A) Fields marked with '*' are mandatory fields.
 B) Tick '✓' wherever applicable.
 C) Please fill the date in DD-MM-YYYY format.
 D) Please fill the form in English and in BLOCK letters.
 E) KYC number of applicant is mandatory for update application.
- F) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
 G) List of two character ISO 3166 country codes is available at the end.
 H) Please read section wise detailed guidelines / instructions at the end.
 I) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

MICROCURVES TRADING PRIVATE LIMITED

For office use only

(To be filled by financial institution)

Application Type*

☐ New☐ Update☐ Delete

KYC Number

(Mandatory for KYC update request)

☐ 1 DETAILS OF RELATED PERSON* (Please refer instruction E at the end)☐ Addition of Related Person☐ Deletion of Related Person☐ Update Related Person Details

KYC Number of Related Person (if available*)

If KYC number is available, only 'Related Person Type' & 'Name' is mandatory

Related Person Type*

☐ Director☐ Promoter☐ Karta☐ Trustee☐ Partner☐ Court Appointment Official☐ Proprietor☐ Beneficiary☐ Authorised Signatory☐ Beneficial Owner☐ Power of Attorney Holder☐ Other (Please specify)

DIN (Director Identification Number)

(Mandatory if Related Person Type is Director)

☐ 1.1 PERSONAL DETAILS (Please refer instruction E at the end)

	Prefix	First Name	Middle Name	Last Name
Name* (Same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender	
Nationality*	<input type="checkbox"/> IN-Indian	<input type="checkbox"/> Others (ISO 3166 Country Code <input type="text"/>)		
PAN*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Form 60 furnished

☐ 1.2 PROOF OF IDENTITY AND ADDRESS* (Please refer instruction E at the end)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

☐ A- Passport Number☐ B- Voter ID Card☐ C- Driving Licence☐ D- NREGA Job Card☐ E- National Population Register Letter☐ F- Proof of possession of AadhaarII ☐ E-KYC AuthenticationIII ☐ Offline verification of Aadhaar

PHOTO*



Address

Line 1*	<input type="text"/>
Line 2	<input type="text"/>
Line 3	<input type="text"/>
District*	<input type="text"/>
Pin / Post Code*	<input type="text"/>
City / Town / Village*	<input type="text"/>
State / U.T. Code*	<input type="text"/>
ISO 3166 Country Code*	<input type="text"/>

☐ 1.3. CURRENT ADDRESS DETAILS (Please refer instruction E at the end)☐ Same as above mentioned address (in such cases address details as below need not be provided)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

☐ A- Passport Number☐ B- Voter ID Card☐ C- Driving Licence☐ D- NREGA Job Card☐ E- National Population Register Letter☐ F- Proof of possession of AadhaarII ☐ E-KYC AuthenticationIII ☐ Offline verification of AadhaarIV ☐ Deemed PoAV ☐ Self Declaration

Address

Line 1*	<input type="text"/>																																												
Line 2	<input type="text"/>																																												
Line 3	<input type="text"/>																									City / Town / Village*					<input type="text"/>														
District*	<input type="text"/>										Pin / Post Code*										<input type="text"/>					State / U.T. Code*					<input type="text"/>					ISO 3166 Country Code*					<input type="text"/>				

☐ **1.4. CONTACT DETAILS** (All communications will be sent on provided Mobile no./ Email ID) (Please refer instruction **D** at the end)

Tel. (Off)	<input type="text"/>	—	<input type="text"/>	Tel. (Res)	<input type="text"/>	—	<input type="text"/>	Mobile	<input type="text"/>	—	<input type="text"/>
FAX	<input type="text"/>	—	<input type="text"/>	Email ID	<input type="text"/>						

2. APPLICANT DECLARATION

- I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/we am/are aware that I/we may be held liable for it.
- I/We hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.



[Signature / Thumb Impression]

Signature / Thumb Impression of Applicant

Date : — —
Place :
3. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copies ☐ E-KYC data received from UIDAI ☐ Data received from Offline verification
☐ Digital KYC process ☐ Equivalent e-document

KYC VERIFICATION CARRIED OUT BY**INSTITUTION DETAILS**

Date — —

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

Name **MICROCURVES TRADING PRIVATE LIMITED**Code

[Employee Signature]

[Institution Stamp]

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository Participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark Sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI) : - List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D)
2. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): - List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below :

Types of entity	Documentary Requirements
Corporate	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control-either directly or indirectly. • Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures.
Partnership Firm	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). Copy of Trust deed. • List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> • PAN of HUF. • Deed of declaration of HUF/ List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	<ul style="list-style-type: none"> • Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks/Institutional Investors	<ul style="list-style-type: none"> • Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> • Copy of SEBI registration certificate. • Authorized signatories list with specimen signatures.
Army/Government Bodies	<ul style="list-style-type: none"> • Self-certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. • Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/ Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account Power of Attorney given by FII/sub account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse shall be acceptable, subject to the submission of proof of relationship alongwith the same.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

Please Submit the KYC Documents on A4 Size Paper Only.

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant

PAN of the Applicant

Sr. No.	PAN	Name	DIN (For Directors) / UID (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Whether Politically Exposed	Photograph
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	

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FATCA & CRS Declaration (Non-Individual)

[illegible]

Name _____

Please tick the applicable tax resident declaration -

I. Is "Entity" a tax resident of any country other than India ☐ Yes ☐ No

(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Sr. No.	Country	Tax Identification Number	Identification Type (TIN or Other*, please specify)
1.			
2.			
3.			

In case Tax Identification Number is not available, kindly provide its functional equivalent.

In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

I.	We are a, Financial institution (Refer I of Part C) or Direct reporting NFE (Refer 3(vii) of Part C) (please tick as appropriate)	<input type="checkbox"/> <input type="checkbox"/>
----	---	--

GIIN	
------	--

Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below

Name of sponsoring entity _____

GIIN not available (please tick as applicable)

<input type="checkbox"/>	Applied for	<input type="checkbox"/>	Not obtained – Non-participating FI
--------------------------	--------------------	--------------------------	-------------------------------------

☐ Not required to apply for - please specify 2 digits sub-category ☐ (Refer I A of Part C)

PART B (please fill any one as appropriate “to be filled by NFEs other than Direct Reporting NFEs”)

I.	Is the Entity a publicly traded company (<i>that is, a company whose shares are regularly traded on an established securities market</i>) (Refer 2a of Part C)
----	--

Yes ☐ (If yes, please specify any one stock exchange on which the stock is regularly traded)

Name of stock exchange

2. Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) (Refer 2b of Part C)

Yes ☐ (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)

Name of listed company

Nature of relation: ☐ Subsidiary of the Listed Company or ☐ Controlled by a Listed Company

Name of stock exchange

3.	Is the Entity an active NFE (Refer 2c of Part C)
----	--

Yes ☐ Nature of Business

Please specify the sub-category of Active NFE (Mention code – refer 2c of Part C)

4. Is the Entity a passiveNFE (Refer 3(ii) of Part C)

Yes ☐ Nature of Business

UBO Declaration (Mandatory for all entities except, a Publicly Traded Company or a related entity of Publicly Traded Company)


Category (Please tick applicable category): ☐ Unlisted Company ☐ Partnership Firm ☐ Limited Liability Partnership Company

☐ Unincorporated association / body of individuals ☐ Public Charitable Trust ☐ Religious Trust ☐ Private Trust

☐ Others (please specify _____)

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s). (Please attach additional sheets if necessary)

Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C)

Details	UBO1	UBO2	UBO3
Name of UBO			
UBO Code (Refer 3(iv) (A) of Part C)			
Country of Tax residency*			
PAN #			
Address	Zip <input type="text"/> State: _____ Country: _____	Zip <input type="text"/> State: _____ Country: _____	Zip <input type="text"/> State: _____ Country: _____
Address Type	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office
Tax ID %			
Tax ID Type			
City of Birth			
Country of birth			
Occupation Type	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____
Nationality			
Father's Name			
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
Date of Birth	DD/MM/YYYY	DD/MM/YYYY	DD/MM/YYYY
Percentage of Holding (%) ⁵			
<p>* To include US, where controlling person is a US citizen or green card holder</p> <p>⁴ If UBO is KYC compliant, KYC proof to be enclosed. Else PAN or any other valid identity proof must be attached. Position / Designation like Director / Settlor of Trust / Protector of Trust to be specified wherever applicable.</p> <p>³ In case Tax Identification Number is not available, kindly provide functional equivalent</p> <p>⁵ Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory / Company Secretary</p>			
DECLARATION			
<p>I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform MICROCURVES TRADING PRIVATE LIMITED for any modification to this information promptly.</p> <p>I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).</p>			
Name			
Designation			
 <input type="text"/>	Date : <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> Place : <input type="text"/>		
<p>For Investor convenience, MICROCURVES TRADING PRIVATE LIMITED collecting this mandatory information for updating across all Group Entities of MICROCURVES TRADING PRIVATE LIMITED whether you are already an investor or would become an investor in future.</p> <p>Please submit the form fully filled, signed, for all the holders, separately, and submit at our Regd./Corp. office or you can dispatch the hard copy to-</p> <p>MICROCURVES TRADING PRIVATE LIMITED Registered Office : Unit No. 208 & 209, 2nd Floor, Xchange Plaza, Dalal Street Commercial Co-operative Society Ltd., Block No. 53, Road 5E, GIFT City, Gandhi Nagar, Gujarat - 382355</p>			
<p>• For Detail Terms & Conditions please visit www.microcurvetrading.com</p>			

PART 3 - TRADING ACCOUNT RELATED DETAILS - MANDATORY FOR ALL**A. BANK ACCOUNT(S) DETAILS** (Through which transactions shall generally be routed)

Bank Name	Branch Address	Account Number	Account Type Saving/Current/Others	MICR Number	IFSC Code
			in case of NRI/NRE/NRO		




B. DEPOSITORY ACCOUNT(S) DETAILS (Through which transactions shall generally be routed)

Depository Participant Name	Name of Depository	Beneficiary Name	DP ID	Beneficiary ID (BO ID)
	<input type="checkbox"/> NSDL <input type="checkbox"/> CDSL			
	<input type="checkbox"/> NSDL <input type="checkbox"/> CDSL			

C. TRADING PREFERENCES

Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off.

If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker. **Currency derivative segment include interest rate future

Stock Exchange	Market Segment/s	
NSE	Cash	11a 
	Currency	11c 
	F&O	11b 

D. PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years:

.....

.....

.....

E. OTHER DETAILS

1. **Gross Annual Income Details** (Please tick (✓) : ☐ Below 1 Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25 Lac
☐ > 25 Lacs ☐ > 1 Crore **OR**

Net-worth in ₹. (*Net worth should not be older than 1 year) **as on (date)**

2. **Occupation** (Please tick (✓) any one and give brief details) :

☐ Private Sector Service ☐ Public Sector ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist
☐ Retired ☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others (Pl. specify) _____

3. **Please tick, if applicable** : ☐ Related to a Politically Exposed Person ☐ Politically Exposed Person ☐ N.A.

4. If Mobile No./Email Id proposed to be used by the client belongs to a different person, then please provide these additional details	Name of the user of Mobile No. _____	Relation with Mobile No. user : <input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Child <input type="checkbox"/> Dependent Parent
	Name of the user of Email Id : _____	Relation with Email Id user : <input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Child <input type="checkbox"/> Dependent Parent

5. **Is the entity involved/providing any of the following services** ☐ YES ☐ NO

– For Foreign Exchange / Money Changer Services ☐ YES ☐ NO
– Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates) ☐ YES ☐ NO
– Money Lending / Pawning ☐ YES ☐ NO

6. **Any other information** : _____

F. GST DETAILS (As applicable, State wise)

Legal Name			
Trade Name			
GSTIN		Registration Date	
Name of the State		State Code	
Other State GSTIN		Registration Date	
Name of the State		State Code	

G. DEALINGS THROUGH AUTHORISED PERSON AND OTHER STOCK BROKERS

If case client has dealt through any other broker / auth. person, please provide the following details:
(if case dealing with multiple stock brokers/auth. person, provide details of all. Separate sheets may be used if required)

Name of the Broker : _____

Client Code : _____ Exchange : _____

Details of disputes/dues pending from/to such stock broker/auth. person, if any: _____

Please provide following additional in case clients had been introduced by a Authorised Person:

Auth. Person's Name: _____ SEBI Registration number: _____

Registered office address: _____

Ph: _____ Fax: _____ Website: _____

H. ADDITIONAL DETAILS

Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please specify) :

Specify your Email id, if applicable : _____

Whether you wish to avail of the facility of internet trading/ wireless technology (please specify) :

Number of years of Investment/Trading Experience along with relevant details: _____

Details of persons authorized to deal on behalf of Non-Individual Clients (Separate annexure may be used if required).

PHOTOGRAPH

Sign across the
Photograph

PHOTOGRAPH

Sign across the
Photograph

Name.....

Designation.....

PAN.....

UID/Aadhaar.....

Residential Address.....

.....

.....

Signature.....

Any other information :

.....

Whether Politically ☐ PEP (Politically Exposed Person) ☐ NO
Exposed ☐ RPEP (Related to Politically Exposed Person)

Name.....

Designation.....

PAN.....

UID/Aadhaar.....

Residential Address.....

.....

.....

Signature.....

Any other information :

.....

Whether Politically ☐ PEP (Politically Exposed Person) ☐ NO
Exposed ☐ RPEP (Related to Politically Exposed Person)

I. DETAILS OF INTRODUCER (Optional)

Signature

Name

Address

Phone/Mobile No.

Status of Introducer ☐ Remisier ☐ Authorized Person ☐ Existing Client ☐ Others (Pl. Specify.....)

I/we request that I/we may be provided with the copies of Rights & Obligations of stock broker, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/ wireless technology based trading); Uniform Risk Disclosure Documents (for all segments/ exchanges); and Guidance Note detailing Do's and Don'ts for trading on stock exchanges in the following form: *(Please tick the applicable box)*

☐ Physical Form ☐ Electronic Form (through E-mail)

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents have been displayed for Information on www.microcurvetrading.com

Place _____

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Date

D	D
---	---

M	M
---	---

Y	Y	Y	Y
---	---	---	---

Signature of Client / All Authorized Signatory (ies)

NOMINATION FORM

(To be filled in by individual applying singly or jointly)

MICROCURVES TRADING PRIVATE LIMITED

Registered Office : Unit No. 208 & 209, 2nd Floor, Xchange Plaza,
Dalal Street Commercial Co-operative Society Ltd., Block No. 53, Road 5E,
GIFT City, Gandhi Nagar, Gujarat - 382355

DATE	D	D	M	M	Y	Y	Y	Y	UCC/DP ID									Client ID										
------	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--	--	-----------	--	--	--	--	--	--	--	--	--	--

I/We wish to make a nomination. [As per details given below]

NOMINATION DETAILS

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all securities held in the Depository by me/us in the said beneficiary owner account in the event of my / our death.

Nomination can be made upto three nominees in the account		Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
1.	Name of the nominee(s) Mr./Ms.)			
2.	Share of each Nominee Equally [If not equally, please specify percentage]	%	%	%
Any odd lot after division shall be transferred to the first nominee mentioned in the form.				
3.	Relationship with the Applicant (if any)			
4.	Address of Nominee(s) City / Place State / Country PIN Code			
5.	Mobile/Telephone No. of Nominee(s)			
6.	Email ID of nominee(s)			
7.	Nominee Identification details - [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> AADHAAR <input type="checkbox"/> Saving Bank A/c No. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID			
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor :				
8.	Date of Birth {in case of minor nominee(s)}			
9.	Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}			
10.	Address of Guardian(s) City / Place State / Country PIN Code			
11.	Mobile/Telephone No. of Guardian			
12.	Email ID of nominee(s)			

	Relationship of Guardian with nominee			
14.	Guardian Identification details - [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> AADHAAR <input type="checkbox"/> Saving Bank A/c No. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID			

	Name(s) of Holder(s)	Signature(s) of Holder
Sole/First Holder (Mr./Ms.)		16
Second Holder (Mr./Ms.)		
Third Holder (Mr./Ms.)		

Note : This nomination shall supersede any prior nomination made by the account holder(s), if any.

DECLARATION FORM FOR OPTING OUT OF NOMINATION

To,

MICROCURVES TRADING PRIVATE LIMITED

Registered Office : Unit No. 208 & 209, 2nd Floor, Xchange Plaza,
Dalal Street Commercial Co-operative Society Ltd., Block No. 53, Road 5E,
GIFT City, Gandhi Nagar, Gujarat - 382355

DATE	D	D	M	M	Y	Y	Y	Y	UCC/DP ID											Client ID									
------	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--	--	--	--	-----------	--	--	--	--	--	--	--	--	--

Sole/First Holder Name	
Second Holder Name	
Third Holder Name	

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

Signatures
of Holder(s)

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Sole/First Holder/Signatory's Signature

Second Holder/Signatory's Signature

Third Holder/Signatory's Signature

FOR OFFICE USE ONLY

UCC Code allotted to the Client : _____

	Document verified with Originals	Client Interviewed By	In-Person Verification Done by
Name of the Employee			
Employee Code			
Designation of the Employee			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD, Do's and Don'ts and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on www.microcurvetrading.com, for the information of the clients.

Signature of the Authorised SignatoryDate :

Seal / Stamp of Graviton

INSTRUCTIONS / CHECK LIST

- Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
- Demat master or recent holding statement issued by DP bearing name of the client.
- For individuals:
 - Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.
 - In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.
- For non-individuals:
 - Form need to be initialized by all the authorized signatories.
 - Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.

DECLARATION BY HUF

To,

MICROCURVES TRADING PRIVATE LIMITED

Date :

Registered Office : Unit No. 208 & 209, 2nd Floor, Xchange Plaza,
Dalal Street Commercial Co-operative Society Ltd., Block No. 53, Road 5E,
GIFT City, Gandhi Nagar, Gujarat - 382355

Dear Sir/s,

As our HUF Firm (Joint Hindu Family) wishes to open a trading account with you for the purpose of trading in the name of we beg to say that the first signatory to this letter i.e., is the Karta of our family and other signatories are the adult co-parceners of the said family is authorized to sell, buy, transfer, endorse, negotiate and/or otherwise deal through you on behalf of the HUF. He is also authorized to sign, execute and submit such application, undertakings, agreements and other requisite documents, writings and deeds as may be deemed necessary or expedient to open account and give effect to this purpose. We are, however, jointly and severally responsible for all liabilities of the said HUF towards you and agree and confirm that any claim due to the you form the HUF shall be recoverable form the assets of any one or all of us and also form the estate of the said joint family including the interest thereon of every co-parceners of the said joint family and the share of the minor co-parceners, if any.

In view of the fact that ours is not a firm governed by the Indian Partnership Act of 1932, we have not got our said firm registered under the said Act. We hereby undertake to inform you about the death or birth of a co-parceners or of any other change occurring at any time in the membership of our joint family, during the currency of the said trading account.

Name & signature of Karta

Name _____

**Name & Signature of Adult Co-parceners (Use annexure for additional members)**

S. No.	Name	Signature
1	_____	_____
2	_____	_____
3	_____	_____
4	_____	_____

Mandatory document dealing with Policies and Procedures pursuant to SEBI's Circular No. MIRSD/SE/Cir-19/2009 dated December 3, 2009

1. Refusal of order for Penny stocks :

MICROCURVES shall have the absolute discretion to accept, refuse or partially accept any buy or sell order for execution from a client in respect of penny stocks, illiquid stocks, stocks having low liquidity, illiquid futures/options, far month futures/options, writing of options, stocks in S, ST, X,Z,P and GSM category and any other contracts which as per the perception of MICROCURVES are extremely volatile or subject to Market manipulation.

MICROCURVES may permit restrictive acceptance of orders in such scrips/contracts in controlled environments like orders received from clients being forwarded by branches to a centralized desk at HO instead of allowing trading in such scrips/Contracts at branch level or through Online trading platform. MICROCURVES shall not be responsible for delay in execution of such orders and consequential opportunity loss or financial loss to the client.

MICROCURVES may cancel orders in such scrips/contracts received from clients before execution or after partial execution without assigning any reasons thereof. MICROCURVES may take appropriate declarations from the clients before accepting such orders.

MICROCURVES shall have the prerogative to place such restrictions, notwithstanding the fact that the client has adequate credit balance or margin available in his account and/or the client had previously purchased or sold such securities / contracts through MICROCURVES itself.

2. Setting up client's Exposure limits :

Purchase Limit : MICROCURVES may provide a exposure limit for intraday and delivery based purchases by a client which would be a multiple (varying between one to four times) of the clear ledger balance in the account of the client plus value of paid up collaterals computed after appropriate haircut. The value of the "multiple" and the "haircut" shall be decided by MICROCURVES based on Market Volatility and quality of collaterals.

Sell Limit : MICROCURVES may provide a sell limit to the client equivalent to the value of securities held by the client in his POA enabled Demat account plus the collateral held by MICROCURVES on behalf of the client in its Beneficiary and Margin Pool account after making appropriate adjustments for the unsettled delivery positions of the client.

Exposure for F&O : MICROCURVES may provide exposure for F&O based on availability of initial margin (SPAN + Exposure) in the form of cash and approved securities (with appropriate hair cut).

Client-wise differential Limits : MICROCURVES shall have the prerogative to allow differential purchase limits and sell limits varying from client to client, depending upon credit worthiness, integrity and past conduct of each client.

3. Applicable Brokerage Rates

Brokerage shall be applied as per the rates agreed upon with the client in the KYC at the time of registration of the client and/or subsequently through a written agreement between the client and MICROCURVES. The rate of Brokerage shall not exceed the maximum brokerage permissible under Exchange bye-laws.

The slab rates of brokerage fixed by MICROCURVES are function of the quality and cost of services provided to the client and the volume and revenue expected from an account. It shall be reviewed by the MICROCURVES from time to time and may be increased with prospective effect at a notice of 15 days sent to the E-mail address or postal address of the client registered with MICROCURVES.

The brokerage shall however be exclusive of the following :

- DP Annual maintenance charges
- DP transaction charges / Pledge / Re-pledge / Demat / Remat charges
- DP Inter settlement charges
- Account Opening charges
- Delayed Payment charges
- Penalties levied by Exchange
- Research advisory charges
- Courier charges
- Bank charges towards the cheques received unpaid,
- DP, Bank and other processing charges towards periodic settlement of Funds/ Securities on periodic basis
- Statutory charges payable to Exchange/ SEBI/Govt. Authorities etc.,
- SEBI/Exchange/Clearing Member Turnover charges
- Other out of pocket and service related charges

4. Imposition of Penalty / delayed payment charges by either party, specifying the rate and the period.

Delayed payment charges / Charges on Exposure against collaterals :

As a matter of policy MICROCURVES does not provide funding facility(ies) to any of it's client.

Pursuant to Exchange Bye-laws, the Member broker is currently required to make pay-in of funds to the Exchange by T+1 / T+2 morning and arrange delivery of securities to the Exchange latest by T+2 morning. Further Member broker is also required to maintain adequate upfront margins with the Exchange to avail exposure for trading. The Exchanges have also defined the ratios in which the cash and collaterals are to be deposited and maintained by the Member broker. In addition, the Exchange requires the member broker to deposit some of the margins like MTM, in Cash only.

MICROCURVES shall therefore charge a delayed payment penalty, not exceeding 2% per month plus GST, on account of delays/failure by the client in meeting the pay-in obligations on the scheduled date and also where the clients take exposure in F&O segment by depositing collaterals in a ratio which is disproportionate to the Cash versus collaterals ratios prescribed by the Exchanges. The above-mentioned delayed payment charges shall be levied for the entire period commencing from expiry of respective pay-in deadline till the date of actual realization of relevant dues from the client.

MICROCURVES may also pay interest at such rates not exceeding prevailing bank rates on the unutilized margin amount lying on behalf of the client with MICROCURVES to such clients, as it may deem fit in its sole discretion, from time to time.

Penalties levied by Exchanges :

Further Exchanges levy various penalties on the member brokers on auction resulting from short deliveries, non adherence to client-wise exposure limits, client-wise shortfall in F&O Margin and for other reasons which may be defined by the Exchange from time to time. MICROCURVES shall therefore pass on any such penalty levied on it to the respective client's account.

Interest Free Deposits :

MICROCURVES provides exposure against the upfront margin received in the form of cash / collateral from the client. The client may at anytime demand withdrawal of cash and collaterals at his discretion. Therefore MICROCURVES shall not pay any interest or other benefit to the client for maintaining cash balances or depositing collateral margins with MICROCURVES.

5. The right to sell clients' securities or close clients' positions, without giving notice to the client, on account of non-payment of client's dues

MICROCURVES shall have right to sell client's securities, both unpaid securities as well as collaterals deposited towards margins, or close out client's open positions, without giving notice to the client where there is a delay/failure of the client to meet the pay-in obligations and / or there is a failure of the client to bring additional margins to cover the increase in risk in the dynamic market conditions.

a. Unpaid Securities in Capital Market :

- i. In case of unpaid obligation on T+3, MICROCURVES may sell the unpaid/ partially paid securities. In addition MICROCURVES may sell the collaterals deposited by the client towards margins and/ or paid securities purchased by the client in earlier settlements where the sale of proceeds of unpaid securities are inadequate to cover the pay-in obligations and/ where the unpaid securities appear to be comparatively illiquid and cannot be sold at reasonable rates to the extent required.

- ii. MICROCURVES may follow the LIFO method for liquidation of securities but it shall not be binding on it to follow this method in all cases.

b. The margin shortfall in F&O:

- i. Positions of the client may be closed out to the extent of margin shortfall on the T+1 basis.
- ii. While computing margin shortfall, value of unapproved securities shall not be considered.
- iii. As per the current Exchange requirements, the Member Broker is required to maintain a 50:50 ratio between cash and collaterals margin deposited with the Exchange. MICROCURVES shall therefore have the prerogative to insist for at least 50% of margin in cash and may not consider the value of securities over and above the cash component for the purpose of calculating margins shortfall and close out the F&O position wherever it finds the deviation. However, sales made in capital market segment shall not be considered while closing F&O positions on T+1 basis due to margin shortfall.

c. Intra-day Positions :

MICROCURVES shall have right to close out any intra-day positions taken by the client after a defined "Cut-off" time (Presently 20 minutes before close of market).

d. General :

- i. While selling the securities/ closing the clients positions, MICROCURVES may take into account the sales made by the client, positions closed by the client or collections received from the client till a cut-off time (presently 2.15pm).
- ii. While selling the securities/ closing the clients positions, MICROCURVES may not take into consideration Cheques/Bank drafts/Pay orders deposited by the client with MICROCURVES until clear proceeds of such instruments are received by MICROCURVES in its bank account.
- iii. MICROCURVES shall have the right to sell client's securities or close out client's open positions but it shall not be under any obligations to undertake this exercise compulsorily. MICROCURVES shall therefore not be under any obligation to compensate/ or provide reasons of any delay or omission on its part to sell client's securities or close open positions of the client

6. Shortages in obligations arising out of internal netting of trades

MICROCURVES shall strive to handle all the instances involving internal shortages in terms of NSE Clearing Limited's Circular No. NCL/CMPT/46456 dated 25th November, 2020 as may be applicable from time to time.

However, in all such cases, wherein the internal shortages cannot be handled as per afore-mentioned circular, the internal shortages shall be handled in terms of policy declared on our website www.microcurvetrading.com

7. Conditions under which a client may not be allowed to take further position or the broker may close the existing position of a client

a. All Markets :

Where client is not having adequate margins as per conditions defined under Section 2.

b. Capital Market:

- i. Where the client has not been able to meet his pay-in obligation in cash by the schedule date of pay-in irrespective of the value of collaterals available with MICROCURVES.
- ii. Clear proceeds of the cheque deposited by the client to meet the pay-in obligations has not yet been received by MICROCURVES.
- iii. Client is trading in "illiquid" scrips and volumes in his account exceed internal cut off limit fixed by MICROCURVES.
- iv. MICROCURVES exposure at "house level" in a specific scrip / contract exceeds the internal limits fixed by MICROCURVES.

c. F&O:

- i. Where the client has not met Market to Market loss in cash
- ii. Where the "open" positions in a contract exceed or are close to market wide cut-off limits
- iii. Where the client's position is close to client-wise permissible "open" positions.
- iv. During the applicable delivery/tender period.

d. INTRA-DAY :

Clients will not be able to place intra-day orders after a cut-off time fixed by MICROCURVES. (Presently 20 minutes prior to close of market)

Event Based: Where based on happening of an event, MICROCURVES has the risk perception that further trading in the securities/ contracts may not be of interest of its clients and/or the market.

8. Temporarily suspending or closing a client's account at the client's request

MICROCURVES may carry a periodic review of the client accounts and may suspend the accounts from Trading in the following circumstances :

- Where the client is inactive for more than 12 months
- Where the client has not cleared the naked or uncovered debits which are more than 7 days' old.
- Where the account is under investigation by any regulatory body .
- Based on the recommendations made by the Branch Manager due to excessive speculations, un-cleared balances etc.

- Physical contract notes are received back undelivered due to reasons like "no such person", "addressee left", refusal to accept mails, POD's signed by the third persons, signature mismatch on POD's or other reasons which may create suspicion.
- Close out of the open positions.
- Non updation of communications details viz., email id, Mobile no, Land line details or it is found to be belonging to a third person.
- Client lodges a compliant either directly with MICROCURVES or through Exchange alleging unauthorized trades being executed in his account.
- On notices received from statutory, Government or Local authorities and/or Income Tax, Service Tax, Judicial or Quasi Judicial authority, etc.
- Where a client is reported to or known to have expired.
- MICROCURVES may also suspend the account based on the written request received from the client.

9. Deregistering a client

- MICROCURVES may de-register the client account based on action taken by SEBI/NSE or being part of list of debarred entities published by SEBI.
- MICROCURVES may also initiate action for deregistering a client on basis of the information found in sites of CIBIL, Watch out investors, world check or client having suspicious back ground, link with suspicious organization, etc.,
- MICROCURVES shall have right to close out the existing positions, sell the collaterals to recover its dues, if any, before de-registering the client.

10. Payment Terms

The clients' shall be required to ensure that full payment towards funds pay-in obligations are made by them latest by respective pay-in deadline. Any default on this count may lead to retention of securities received in pay-out. Such securities, if any, shall be dealt with in accordance with the contents of para "Handling of Unpaid Securities".

11. Handling of Unpaid Securities

In all such cases, wherein client is unable to fulfill his/her funds pay-in obligation by respective pay-in deadline, then the securities pay-out due to the client may be transferred to company's "Client Unpaid Securities Account" as per company's applicable Risk Management Policy. Further, in case of non-payment within 5 trading days from the date of securities pay-out, the unpaid securities may be sold from the Unique Client Code (UCC) of the respective client as per company's applicable Risk Management Policy. Profit/loss on the sale transaction, if any, in relation to sale of unpaid securities, shall be transferred to /adjusted from the respective client account. Remaining securities lying in Client Unpaid Securities Account, if any, shall be returned to the client.

TARIFF SHEET

Brokerage Charges**Capital Market Segment**

Stock Exchange	Delivery Charges (in %age terms)	Minimum Delivery Charges per share (in Rs.)	Jobbing Charges (in %age terms)	Minimum Jobbing Charges per share (in Rs.)
NSE	_____ %	Rs. _____/-	_____ %	Rs. _____/-

Futures & Options - NSE

Nature of Transaction	in %age terms	Minimum (per Unit)	Minimum (per Lot)
Futures	_____ %	Rs. _____/-	Rs. _____/-
Options	_____ %	Rs. _____/-	Rs. _____/-

Currency Derivatives Segment - NSE

Nature of Transaction	in %age terms	Minimum (per Unit)	Minimum (per Lot)
Futures	_____ %	Rs. _____/-	Rs. _____/-
Options	_____ %	Rs. _____/-	Rs. _____/-

In addition to brokerage defined hereinabove, clients shall also be required to pay following additional charges which are subject to change from time to time:

Charges levied by Central Government, State Governments, SEBI, Stock Exchanges, Clearing Member and/or other Statutory / Legal Authorities:

- Securities Transaction Tax;
- Stamp Duty;
- GST;
- SEBI Turnover Fees;
- Stock Exchange's Transaction Charges with GST;
- Clearing charges charged by Clearing Corporation / Clearing Member with GST;

Other Charges**

- Late pay-in / delayed payment charges - upto 24% p.a.
- Demat charges - Rs. 10/- per transaction for all instances involving Off-market / Inter-settlement transfers and/or pay-in of shares out of securities held on behalf of respective client
- Pledge charges - 0.025% (Min. Rs. 25/- and Max. Rs. 300/-) per transaction in respect of all such scrips which are tendered as collateral towards applicable margin in Futures and Options / Currency Derivatives Segment
- Internet-based trading (IBT) facility - Rs. 300/- per segment per month for application based software (Rs. 100/- for browser based IBT facility) (Optional)
- Cheque bouncing charges - Rs. 250/- per instance
- Request for additional statement / bill / contract note - Rs. 5/- per page (Min. Rs. 25/- per request) + Courier Charges, if any
- All such charges / penalties that may be levied upon MICROCURVES TRADING PRIVATE LIMITED by stock exchanges/SEBI/any other statutory authority due to any act/ommission/negligence, whether wilful or otherwise, on part of the client
- In addition to the above, appropriate charges shall also be levied for all such services/facilities that may be availed by the client in addition to services/facilities as per defined Rights and Obligations

** GST at applicable rates shall additionally be levied on all of these charges

RUNNING ACCOUNT AUTHORISATION - ALL EXCHANGES

(Kindly note that these additional clause(s)/ documentation(s) are voluntary and at the discretion of the stock broker and the client. The same are required for maintaining the account of the Client on a running basis. The client need not execute this document if he / she does not wish to. The client has the right to terminate the document, in which eventuality; the stock broker shall maintain the account of the client on a settlement basis)

To,

MICROCURVES TRADING PRIVATE LIMITED

Dated.....

Registered Office : Unit No. 208 & 209, 2nd Floor, Xchange Plaza,
Dalal Street Commercial Co-operative Society Ltd., Block No. 53, Road 5E,
GIFT City, Gandhi Nagar, Gujarat - 382355

I/ we / are/ shall be maintaining a trading account with you for the purpose of doing trading transactions and in order to facilitate ease of operations. I/ we authorize you as under:

1. My/ our trading account w.r.t funds transactions may please be maintained on a running basis i.e. the funds pay out due to me/ us on the date of pay- out may be retained with your good selves and adjusted towards my/ our future margin obligations and/ or funds pay-in obligations.
2. While settling my/ our trading account on a periodical basis, you may retain my/ our funds only to such extent as may be allowed as per applicable directives/ guidelines issued by Stock Exchanges/. SEBI.
3. I/ we confirm that I/ we shall bring to your notice all the discrepancies/ disputes, if any, arising out of statements sent to me/ us at the time of my/ our periodical settlement, within a period of 30 working days from the date of such statements.
4. I/ we reserve my/ our right to revoke this authorization at any time without any prior notice by submitting a written request with your goodselves:
5. I/ we would prefer to get my/ our trading account actually settled as per the periodicity indicated herein after:

☐ Once in every 30 days

☐ Once in every 90 days

Thanking you,

Yours faithfully,

Signature 

Name _____ Client Code: _____

[Note: To be signed by person himself / herself not to be signed by his/her attorney/authorized person etc.]

DECLARATION FOR NAME MISMATCH

I S/o

R/o

do hereby declare that I have been known by two different names i.e.....
 as per Income tax official website and as per the name
 printed on PAN Card (PAN No.) and all other subsequent identity
 proofs. I further declare that both and
 are one and the same person.

I confirm and undertakes that for the purpose of evidencing such my determination I shall at all times
 hereafter in all records deeds and writings, whatsoever use and known by the name of.....
 as my name in place.

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Date: _____

Client Name: _____ Client Code: _____

ADDITIONAL RIGHTS & OBLIGATIONS

(Kindly note that these additional clause(s) are voluntary and at the discretion of the stock broker and the client. The same are required for clarifying the scope and exact nature of authorities and responsibilities of the Stock Broker and the client vis-à-vis each other. The client need not execute this document if he / she does not wish to. The client has the right to terminate the document. The Client also has the right to struck off all such clauses, if any, to which he/she doesn't agree. The Client is also expected to put his/her signatures against all such struck off clauses).

For the purpose of this document, unless the context otherwise requires:

- a) The words "MICROCURVES" wherever used in this schedule shall be deemed to be referring to M/s. MICROCURVES TRADING PRIVATE LIMITED, a company incorporated under the relevant provision of the Companies Act, 1956 and having its registered office at Unit No. 208 & 209, 2nd Floor, Xchange Plaza, Dalal Street Commercial Co-operative Society Ltd., Block No. 53, Road 5E, GIFT City, Gandhi Nagar, Gujarat - 382355;
- b) Words denoting the singular number shall also include the plural and vice versa;
- c) The pronouns "he", "she", "it" and their cognate variations are used as interchangeable and interpreted in accordance with the context;
- d) Words denoting a person shall include an individual, corporation, company, partnership, trust or other entity; provided however that clauses specifically applicable to a company, partnership or body corporate shall not apply to any other entity;
- e) References to the words "include" or "including" shall be construed without limitation;
- f) References to recitals and clauses shall be deemed to be a reference to the recitals and clauses of this schedule; and
- g) Reference to any rule, regulation, by-laws, circulars, guidelines and / or directives shall be construed as referring also to any amendment, addition or deletion therein or re-enactment thereof.

Additional Rights & Obligations :

1. The Client agrees and acknowledges that MICROCURVES shall not be liable to provide him with any legal, tax, investment or accounting advice or advice regarding the suitability or profitability of a security or investment and as such all investment and disinvestment decisions shall be based on the Client's own evaluation of financial circumstances and investment objectives. This extends to any decision made by the Client on the basis of any information that may be made available by MICROCURVES including that on the website/trading platform/through SMS etc. The Client will not hold nor seek to hold MICROCURVES or any of its officers, directors, employees, agents, subsidiaries, affiliates or business associates liable for any trading losses or other losses, costs or damage incurred by the Client consequent upon relying on information, research opinions or advice or any other information whatsoever including that on the website whether put up by MICROCURVES or any other agency. MICROCURVES does not represent and shall not be deemed to have represented that the investment information is accurate or complete. The Client is aware that any information based on the research of MICROCURVES or other external sources is merely an estimation of the viability or otherwise of certain investments, and MICROCURVES shall not be deemed to have assumed any responsibility for such information. The Client should seek independent professional advice regarding the suitability of any investment decision. The Client also acknowledges that MICROCURVES's employees/agents are not authorized to give any such advice and that the Client will not solicit or rely upon any such advice from MICROCURVES or any of its employees/agents.
2. The Client hereby agrees and declares that he has fully assessed and accepted the risks involved in using the services / facilities including but not limited to internet based services such as but not limited to misuse of passwords, internet frauds, technology risks etc.; and agrees not to hold MICROCURVES and/or services providers responsible for any damages, losses or negative consequences, which Client may suffer in any manner whatsoever.

3. The Client shall regularly review information relating to order placement, order confirmation, order modifications, order executions, trade confirmations, trade modifications and /or any other information that may be contained in bills, transaction statements, account statements, securities statements, margin statements etc. pertaining to Client's account, communicated or sent by MICROCURVES either to Client himself or to any of his relatives, associates or authorized representatives in person or through telephone, SMS, e-mail, courier, ordinary post, speed post, registered post, telegram or any other means or modes that may be available with MICROCURVES at the relevant time to Client's Mobile / Telephone No., E-mail Id and/or Postal Address as per MICROCURVES's records, and to report the discrepancies in such information/ correspondence, if any, immediately within a period not exceeding 7 days after dispatch of the same from MICROCURVES's end by delivering a physical letter in this regard at MICROCURVES's corporate office.
4. The Client agrees to ensure that he shall not act as a portfolio manager / sub-broker / authorised person / intermediary without getting himself registered as such, in accordance with relevant provisions of rules, regulations, bye laws, circulars and guidelines of the respective stock exchange(s) and / or SEBI.
5. Client agrees to MICROCURVES tape-recording the conversations between Client/Client's representative and MICROCURVES's officers/ employees/ agents etc. in its absolute discretion, either personally or over the telephone. Such recordings may be relied upon by MICROCURVES in appropriate legal /conciliatory proceedings.
6. The Client undertakes that he shall neither place nor cause to be placed any such orders (including but not restricted to such orders which are either structured, synchronized or circular in nature and/or such other orders which has or may have the potential of manipulation of order books, prices and/or volumes of the respective scrips/securities/ contracts and/or such other orders which do not have any bonafide economic rationale or are otherwise in-genuine in nature) at the trading terminals of MICROCURVES, which violates any of the provisions of rules, regulations, bye-laws, circulars, guidelines and/or directives of SEBI / respective Stock Exchange/s, as may be in force from time to time. In case of any default on the part of the Client in this regard, the Client unconditionally agrees to wholly indemnify MICROCURVES against all such damages, losses and/or penalties, which MICROCURVES may be required to incur or suffer on account of Client's violation/non-compliance of the conditions contained in this clause, irrespective of the fact whether such damage/loss/penalty arises during the period of existence of Broker-Client relation of the parties hereto, or at any time thereafter.
7. In case Client has made any sale of securities and due to any exigencies, Client is unable to make available the delivery of the same in the designated account within the designated time and it is an inter Client delivery at broker level, Client hereby agrees that MICROCURVES may make purchases of the same in the market on Client's behalf to make available the delivery of the said securities to the opposite party.
8. Client agrees that his trading account/s may be debited with late payment charges as mentioned in Standard Policies and Procedures documents as MICROCURVES may deem fit in its absolute sole discretion, in case the Client fails to meet his pay-in obligations by such pay-in deadlines as may be prescribed by respective Stock Exchange(s) / SEBI from time to time;
9. Client agrees that all such securities that have been deposited by the Client with MICROCURVES towards collateral / margin and/or such other securities that may be lying with MICROCURVES from time to time due to maintenance of running account by the Client with MICROCURVES, may be deposited / pledged by MICROCURVES with any stock exchange/clearing corporation/ clearing

house / clearing member / any other person or entity, as MICROCURVES may deem fit in its absolute discretion;

10. MICROCURVES shall be within its right to demand sums due separately for any specific segment and/or stock exchange, if it so deems fit in its absolute discretion. Further, the Client authorizes MICROCURVES to set-off outstanding balance/s in any of Clients' trading accounts with MICROCURVES against credits available or arising in any other trading account/s maintained by the Client with MICROCURVES, irrespective of the fact whether such credit pertains to transactions in different segments of the same Stock Exchange or of different Stock Exchanges and/or against the value of cash margin, securities and / or any other collateral deposited by the Client with MICROCURVES;
11. The Client agrees that all monies or securities which MICROCURVES may hold on Client's account shall be held subject to a general lien for the discharge of Client's obligations towards MICROCURVES.
12. Client agrees that MICROCURVES may in its sole discretion, set-off / appropriate / adjust a part or whole of the monies / credit balances in ledger and / or securities (shares/stocks/commodities etc) and / or Margin lying as credit in Client's account against any dues / debit balances in ledger including but not limited to dues by way of shortfall in Margin in any of Client's account.
13. The Client understands and agrees that in no case MICROCURVES shall be able to give to the Client, credit against Third Party Payments/ Deliveries tendered/transferred by the Client to the respective Bank and/or Demat Accounts of the MICROCURVES. However, in case due to any error or omission, whether bonafide or otherwise on the part of any of the employees/agents etc. of MICROCURVES, the Client gets credit towards any Third Party Payments/ Deliveries, then in such a case.
 - a) where the Client has received credit towards any third party payments, the Client unconditionally agrees to arrange for refund of the entire amount for which undue credit has been received by the Client from MICROCURVES, forthwith on receipt of intimation / request in this regard from MICROCURVES, and in a case, where the Client has failed to refund the entire amount within 7 days from the date of receipt of intimation / request as aforesaid, then he shall be liable to refund the said amount along with interest @ 18% p.a. plus GST payable for the entire period falling between date of receipt of intimation / request as aforesaid and the date of receipt of actual refund by MICROCURVES from the Client; and
 - b) where the Client has received credit towards any third party deliveries, the Client unconditionally agrees to arrange for returning all such securities along with all the Corporate Benefits thereon, if any, received by the Client on such securities for which undue credit has been received by him, forthwith on receipt of intimation / request in this regard from MICROCURVES, and in case, where the Client fails to return the Securities / Corporate Benefits as aforesaid, the Client shall be liable to pay to MICROCURVES, the money equivalent to market value of the Securities / Corporate Benefits not so returned, calculated in accordance with market rates of the relevant securities, prevailing on the date of such payment.
14. The Client understands and agrees that in case due to any error or omission, whether bonafide or otherwise, on the part of any of the employees/agents etc. of MICROCURVES, MICROCURVES pays / transfers / credits to the Client any funds / securities to which the Client was not entitled, then in such a case.

- a) where the Client has received any payment/credit from MICROCURVES for an amount to which he was not entitled, the Client unconditionally agrees to arrange for refund of the entire amount unduly received by him, forthwith on receipt of intimation / request in this regard from MICROCURVES, and in a case, where the Client has failed to refund the entire amount within 7 days from the date of receipt of intimation / request as aforesaid, then he shall be liable to refund the said amount along with interest @ 18% p.a. plus GST payable for the entire period falling between date of receipt of intimation / request as aforesaid and the date of actual receipt of refund by MICROCURVES from the Client; and
- b) where the Client has received any securities from MICROCURVES to which he was not entitled, the Client unconditionally agrees to arrange for returning all such securities along with all the Corporate Benefits thereon, if any, received by the Client on such securities unduly received by him, forthwith on receipt of intimation / request in this regard from MICROCURVES, and in case, where the Client fails to return the Securities / Corporate Benefits as aforesaid, the Client shall be liable to pay to MICROCURVES, the money equivalent to market value of the Securities / Corporate Benefits not so returned, calculated in accordance with market rates of the relevant securities, prevailing on the date of such payment.

Irrespective of the fact whether such a payment/transfer takes place during the period of existence of Broker-Client relation of the parties hereto, or at any time commencing thereafter.


15. The Client agrees to accept the responsibility of himself knowing the status of all corporate action(s) including but not limited to Rights Issues, Bonus Issues, Dividends, Stock Splits, Consolidation of Shares, Buy Backs, Open Offers, Reduction / Reorganization of Capital, Merger, Demerger or Amalgamation of Companies and/or Delisting of Companies/ Securities etc. announced or intimated by Issuer Company from time to time, which may or may not have an impact on prices, volumes, lot sizes and/or tradability of respective securities and/or contracts. It is the Clients'

responsibility to keep track of all such corporate action/s in respect of all such securities / contracts in which Client intends to trade and / or those which are kept by Client with MICROCURVES for ease of dealing / margin / collateral / security etc. and to make appropriate trading / dealing decisions by appropriately considering the impact of any such corporate action(s). MICROCURVES shall not be able to act as an advisor to Client in any of these matters/ aspects.

The Client also agrees that in respect all such securities which are kept by Client with MICROCURVES for ease of dealing / margin / collateral / security etc., MICROCURVES shall not be responsible in any manner to provide any benefits arising out of various Corporate Actions including benefits arising out of Right Issues, Open Offers and Delisting Offers unless benefits, if any, under such Corporate Actions automatically gets credited to the respective Bank/Demat Accounts of MICROCURVES, without requiring any action whatsoever on the part of MICROCURVES. However, in case of dividend / bonus / stock splits, the benefit thereof shall be passed on to the respective Clients by the MICROCURVES, within one month after receipt thereof.

Further, for availing the benefits arising out of Corporate Actions requiring some action e.g. Right Issues, Open Offers and Delisting Offers etc., in respect all such securities which are kept by Client with MICROCURVES for any reason whatsoever, the Client shall be solely responsible for applying for such corporate benefits after himself taking initiative for getting such securities transferred into his own beneficiary account well in advance before applicable record date / cut-off date / book closure, if any. In exceptional circumstances only, MICROCURVES may, upon written request of the Client given well in advance, take actions for and on behalf of the Client, to apply for and / or to receive such corporate benefits in respect of securities held by MICROCURVES on behalf of the Client (subject to Client making available free funds etc. for the same well in advance). However, MICROCURVES shall not be liable for any loss / claim whatsoever, in the event such application is rejected by Registrar / Company / Manager to the Issue, for any reason whatsoever.

16. The Client agrees to accept full responsibility of himself knowing all the applicable rules, regulations, byelaws and directives, if any, in relation to delivery transactions on Commodity Derivatives Segment/s. MICROCURVES shall not be able to act as advisor to Client in any of these matters. The client agrees to assume complete and unconditional responsibility in respect of all the compliances, taxes, costs, charges, fee, levies and/or penalties etc., if any, relevant to delivery transactions on Commodity Derivatives Segment/s. The client also unconditionally agrees to reimburse all the costs, expenses and/penalties etc., if any, which MICROCURVES may be required to incur and/or suffer on account of client's delivery transactions on Commodity Derivatives Segment/s.
17. The parties hereto have agreed that failure of either party to enforce at any time any terms contained in this schedule, shall not be construed to be the waiver of such terms or of the right to enforce such terms, at any time thereafter.
18. If any provisions of this schedule are held invalid or unenforceable by reason of any law, rule, administrative order or judicial decision by any court, or regulatory or self-regulatory agency or body, such invalidity or unenforceability shall attach only to such provisions held invalid. The validity of remaining provisions and terms shall not be affected thereby and these terms shall be carried out as if such invalid / unenforceable provisions or terms were not contained herein.

Signed by or on behalf of Client	
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Signed by:	
Title:	
Name of the client	
Place	Date

Signed on behalf of MICROCURVES	
Signed by:	
Title:	
Name of the Stock Broker : MICROCURVES TRADING PVT. LTD.	
Place	Date

ELECTRONIC CONTRACT NOTE (ECN) DECLARATION**APPENDIX - A**

To,

MICROCURVES TRADING PRIVATE LIMITED

Registered Office : Unit No. 208 & 209, 2nd Floor, Xchange Plaza,
Dalal Street Commercial Co-operative Society Ltd., Block No. 53, Road 5E,
GIFT City, Gandhi Nagar, Gujarat - 382355

Dear Sir,

I _____ a client with member
M/s. MICROCURVES TRADING PRIVATE LIMITED undertakes as follows:

- I am aware that the member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
- I am aware that the member has to provide electronic contract note for my convenience on my request only.
- Though the member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore , I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out/ ordered by me.
- I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operation.
- My email id is _____.
This has been created by me and not by someone else.
- I am aware that this declaration form should be in English or in any other Indian language known to me.
- I am aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above e-mail ID.

The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same.

Client Name: _____

Unique Client Code : _____

PAN: _____

Address : _____



Date : _____ Place: _____

Verification of the client signature done by,

Name of the designated officer of the Member _____

Signature _____

PMLA DECLARATION

To,

Date : _____

MICROCURVES TRADING PRIVATE LIMITED

Registered Office : Unit No. 208 & 209, 2nd Floor, Xchange Plaza,
Dalal Street Commercial Co-operative Society Ltd., Block No. 53, Road 5E,
GIFT City, Gandhi Nagar, Gujarat - 382355

Dear Sir/s,

This has reference with the trading account bearing Client Code _____, already opened / proposed to be opened by me/us with you for the purpose of doing trading transactions in Commodity Derivative Contracts on stock exchanges.

With regard to the same, I/we wish to inform you that I/we propose to undertake high value transactions bearing value of more than Rs. 5,00,000/- (Rupees five lacs only) per day in the said trading account. The purpose of my/our trading shall be as follows:

- ☐ Speculation
☐ Hedging
☐ Arbitrage

(Please tick appropriate entry/ies)

I/we do hereby confirm that I/we shall abide by all the provisions as are contained in Prevention of Money Laundering Act, 2002 as well as all the rules, regulations and notifications issued pursuant thereto.

Further, I/we also do hereby confirm that I/we shall route all the receipts / payments in respect of my/our dealings in said trading account, only through such bank account/s that has/have been registered by me/us with you.

Kindly take the above on record and do the needful.

Thanking you,

Yours' truly,

Signature 

Name _____ Client Code _____

CLIENT DEFAULTER DECLARATION

I, _____ having PAN no. _____ do hereby declare that I have not been involved in any terrorist activity and I have not been declared as defaulter or my name is not appearing in defaulter database as per SEBI/Various Stock Exchanges/ Regulatory bodies/CIBIL (Credit Information Bureau of India Ltd.) etc.

I further declare that the above mentioned declaration/statement is true and correct.



Date: _____

Client Name: _____ Client Code: _____

FOR REGISTRATION AND VERIFICATION OF MOBILE NUMBER AND E-MAIL ADDRESS

To,

Date : _____

The Compliance Officer**MICROCURVES TRADING PRIVATE LIMITED**

Registered Office : Unit No. 208 & 209, 2nd Floor, Xchange Plaza,
Dalal Street Commercial Co-operative Society Ltd., Block No. 53, Road 5E,
GIFT City, Gandhi Nagar, Gujarat - 382355

I/We am/are aware that stock exchanges provide SMS/email alerts to the constituents (clients) of its member for trades executed on its platform. I/We hereby provide and confirm my/our mobile number and/or email address as stated below for the purpose of receipt of SMS/email alerts.

- I want to receive transaction alerts in SMS as well as email from Exchanges.
- I want to receive transaction alerts only in SMS from Exchanges.
- I want to receive transaction alerts only in Email from Exchanges.
- I do not want to receive any transaction alerts from Exchanges, specify reason

.....

The alerts should be sent on :

Mobile number (enter 10 digit mobile no.)

--	--	--	--	--	--	--	--	--	--

E-mail Id.....

I/We agree to the terms and conditions specified by the Stock Exchanges. I/We am/are aware that the receipt of SMS/E-mail alerts on the above mobile number and/or email address can be stopped only on my/our written request.

Name of the Client.....

Client Id

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Notes : The authorities provided hereinabove may be revoked at any time. Further, this authorisation must be signed by the client himself/herself.

SPECIMEN OF BOARD RESOLUTION*(To be provided on Pre-printed letter head of the company)*

CERTIFIED TRUE COPY OF RESOLUTION PASSED IN THE MEETING OF THE BOARD OF DIRECTORS OF M/S..... HAVING ITS REGISTERED

OFFICE AT.....

HELD ONTH DAY OF, 20.....

“RESOLVED THAT the Company is empowered to deal on Capital Market, Futures & Options, Currency Derivatives of National Stock Exchange of India Ltd. (NSE) and/or any other Segment that may be introduced by NSE.

RESOLVED FURTHER THAT a Corporate Trading Account/s for the purpose of trading on NSE/BSE/MCX be opened with M/s. **MICROCURVES TRADING PRIVATE LIMITED** having their Regd. Office at Unit No. 208 & 209, 2nd Floor, Xchange Plaza, Dalal Street Commercial Co-operative Society Ltd., Block No. 53, Road 5E, GIFT City, Gandhi Nagar, Gujarat - 382355. M/s. **MICROCURVES TRADING PRIVATE LIMITED** be and is hereby authorized to honor instructions, oral or written, given on behalf of the company by any of the under noted authorized signatories:

Sl. No.	Name	Designation
1.
2.

who are authorized to sell, purchase, transfer, endorse, negotiate documents and/or otherwise deal through **MICROCURVES TRADING PRIVATE LIMITED** on behalf of the Company.

FURTHER RESOLVED THAT Shri..... and/or Mr.....Directors and/or Mr....., Authorized Signatory of the Company, be and are hereby authorized to sign, execute and submit such applications, undertakings, agreements and other requisite documents, writings and deeds, as may be deemed necessary or expedient to open accounts and give effect to this resolution.

RESOLVED FURTHER THAT the Common Seal of the Company be affixed, wherever necessary in accordance with the relevant clauses of Articles of Association of the Company.”

For

Chairman/Company Secretary

Specimen Signature of the Authorized Persons

Sl. No.	Name	Specimen Signature
1.
2.

CDSL

KYC

MICROCURVES TRADING PRIVATE LIMITED**SEBI REGN. NO. : IN-DP-744-2023 • DP ID 12098800**

Registered Office : Unit No. 208 & 209, 2nd Floor, Xchange Plaza, Dalal Street Commercial
Co-operative Society Ltd., Block No. 53, Road 5E, GIFT City, Gandhi Nagar, Gujarat - 382355
Phone : 079-66120300 • Email id : team@microcurvetrading.com



(To be filled by the Depository Participant)

Application No.											Date	D	D	M	M	Y	Y	Y	Y
DP Internal Reference No.																			
DP ID	1	2	0	9	8	8	0	0	Client ID										

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/our name as per following details :

HOLDERS DETAILS

Sole/First Holder's Name											PAN										
											UID										
UCC											Exchange : Bombay Stock Exchange Ltd. (6771)										
Second Holder's Name											PAN										
											UID										
Third Holder's Name											PAN										
											UID										
Search Name																					

Name* _____

* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

TYPE OF ACCOUNT (Please tick whichever is applicable)

<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident	<input type="checkbox"/> Individual Director	<input type="checkbox"/> Individual Director's Relative
	<input type="checkbox"/> Individual HUF/AOP	<input type="checkbox"/> Individual Promoter	<input type="checkbox"/> Minor
	<input type="checkbox"/> Individual Margin Trading A/c (Mantra)	<input type="checkbox"/> Others (Specify) _____	
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable	<input type="checkbox"/> NRI Non-Repatriable	<input type="checkbox"/> NRI Repatriable Promoter
	<input type="checkbox"/> NRI Non-Repatriable Promoter	<input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> Others (Specify) _____
	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National-Depository Receipts <input type="checkbox"/> Others (Specify) _____		

Status										Sub-Status (To be filled by the DP)			
<input type="checkbox"/> Body Corporate	<input type="checkbox"/> Banks	<input type="checkbox"/> Trust	<input type="checkbox"/> Mutual Fund	<input type="checkbox"/> OCB	<input type="checkbox"/> FPI								
<input type="checkbox"/> CM	<input type="checkbox"/> FI	<input type="checkbox"/> Clearing House	<input type="checkbox"/> Other (Specify) _____										
SEBI Registration No. (if applicable)					SEBI Registration Date	D	D	M	M	Y	Y	Y	Y
RBI Registration No. (if applicable)					RBI Approval Date	D	D	M	M	Y	Y	Y	Y
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify) _____												

FINANCIAL DETAILS

Gross Annual Income Details : Income Range per annum : ☐ Below Rs. 1 Lac ☐ Rs. 1 Lac to 5 Lac
(please specify) ☐ Rs. 5 Lac to 10 Lac ☐ Rs. 10 Lac to 25 Lac ☐ Rs. 25 Lac to 1 Crore ☐ >1 Crore

Net Worth (Net worth should not be older than 1 year) Amount Rs.....

as on (date) (Compulsory for Non-Individuals)

Occupation : ☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Business ☐ Professional
(please tick any one and give brief details) ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Others_____ Please Specify

Please tick, if any of the authorized signatories/Promoters/Partners/Karta/Trustees/Whole time directors is either
☐ Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (PEP) (Please provide details as per annexure 2.2A)

Any other information :

DETAILS OF GUARDIAN (in case the account holder is minor)

Guardian's Name											PAN								
Relationship with the applicant																			
I/We instruct the DP to receive each and every credit in my/our account (if not marked, the default option would be 'Yes')												[Automatic Credit] Yes <input type="checkbox"/> No <input type="checkbox"/>							
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end. (If not marked, the default option would be 'No')												Yes <input type="checkbox"/> No <input type="checkbox"/>							
Account Statement Requirement		<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly																	
I/We request you to send Electronic Transaction-cum-Holding Statement at the email Id _____												Yes <input type="checkbox"/> No <input type="checkbox"/>							
I/We would like to share the email ID with the RTA												Yes <input type="checkbox"/> No <input type="checkbox"/>							
I/We would like to receive the Annual Report (Tick the applicable box. If not market the default option would be in Physical)												<input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical & Electronic							
<input type="checkbox"/> I wish to opt BSDA Account.												<input type="checkbox"/> I do not wish to opt BSDA Account							
CAS Mode : <input type="checkbox"/> Physical CAS Required <input type="checkbox"/> CAS not Required																			
I/We wish to receive dividend/interest directly in to my bank account as given below through ECS. (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]												Yes <input type="checkbox"/> No <input type="checkbox"/>							

BANK DETAILS (Dividend Bank Details)

Bank Code (9 digit MICR Code)										
IFS Code (11 Character)										
Account Number										
Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify)_____									
Bank Name										
Branch Name										
Bank Branch Address										
City		State		Country		PIN				

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque books is issued, (or)
 - (ii) Photocopy of the Bank Statement having name and address of the BO.
 - (iii) Photocopy of the Passbook having name and address of the BO, (or)
 - (iv) Letter from the Bank.
- In case of option (ii), (iii) and (iv) above, MICR Code of the branch should be present/mentioned on the document.




CLEARING MEMBER DETAILS (To be filled by CMs only)

Name of Stock Exchange			
Name of CC / CH			
Clearing Member ID		Trading Member ID	
SMS Alert Facility Refer to Terms & Conditions given as Annexure 2.4	MOBILE No.: +91-..... [Mandatory, if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).		<input type="checkbox"/> Yes <input type="checkbox"/> No
Easi	To register for easi , please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.		

DECLARATION

I/We have received and read the Rights and Obligations document of BO-DP (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

SIGNATURE'S OF HOLDER / DIRECTOR / AUTHORISED SIGNATORIES (Enclosed a Board Resolution for Authorised Signatories)

Holder	Name	Designation	Signature(s)
Sole / First Holder / Guardian (Mr./Ms.) (in case of Minor) / Authorised Signatory			30 
Second Holder/ Authorised Signatory			
Third Holder/ Authorised Signatory			
Other Holders			
Second Holder			
Third Holder			

(Signatures should be preferably in blue ink)

(In case of more authorised signatories, please add annexure)

SMS ALERT FACILITY

MICROCURVES TRADING PRIVATE LIMITED

SEBI REGN. NO. : IN-DP-744-2023 • DP ID 12098800

Registered Office : Unit No. 208 & 209, 2nd Floor, Xchange Plaza, Dalal Street Commercial
Co-operative Society Ltd., Block No. 53, Road 5E, GIFT City, Gandhi Nagar, Gujarat - 382355
Phone : 079-66120300 • Email id : team@microcurvetrading.com



Terms & Conditions-Cum-Registration / Modification Form for receiving SMS Alerts from CDSL

Definitions :

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise.

Fees, Charges and deposits

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Tower, Dalal Street, Fort, Mumbai-400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. 'Alerts' means a customized SMS sent to the BO over the said mobile phone number.
6. 'Service Provider' means a cellular service provider(s) with whom the depository has entered/will be entering into an arrangement for providing the SMS alerts to the BO.
7. 'Service' means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability :

1. The service will be provided to the BO at his/her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The service may be discontinued for a specific period/indefinite period with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository.

In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts :

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the services depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledge that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/or in accuracy. In case of BO observes any error in the information provided in the alert, the BO shall inform the depository and/or the DP immediately in writing and the depository will make best possible efforts to rectify the errors as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/suffered by the BO an account to avail SMS alerts facility.
5. The BO authorized the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service

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Signature of Sole/First Holder



Signature of Second Holder



Signature of Third Holder

7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

Fees :

Depository reserves the right to change such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer :

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warrant the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository will not be liable for

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purpose of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/we further undertake to pay fee/charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of registration/modification (Please cancel out what is not applicable).

DP ID	1	2	0	9	8	8	0	0	BO ID								
-------	---	---	---	---	---	---	---	---	-------	--	--	--	--	--	--	--	--

Sole/First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile No. on which messages are to be sent

+	9	1											
---	---	---	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The Mobile Number is registered in the name of : _____

E-mail Id : _____

(Please write only ONE valid email Id on which communication; if any, is to be sent)

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Signature of Sole/First Holder



Signature of Second Holder















Signature of Third Holder

IN CASE OF JOINT HOLDING, ALL JOINT HOLDERS MUST SIGN.

***Please do not use correction fluid, all cuttings must be attested by all the joint holder(s).**

Place : Date :

DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI)

Purpose 1	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker	Signature of Sole/First Holder 33(a) 
		Signature of Second Holder 
		Signature of Third Holder 
Purpose 2	Pledging / re-pledging of securities in favour of M/S Reliablenivesh Securities Private Limited as a trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	Signature of Sole/First Holder 33(b) 
		Signature of Second Holder 
		Signature of Third Holder 
Purpose 3	Mutual Fund transactions being executed on Stock Exchange order entry platforms	Signature of Sole/First Holder 33(c) 
		Signature of Second Holder 
		Signature of Third Holder 
Purpose 4	Tendering shares in open offers through Stock Exchange platforms	Signature of Sole/First Holder 33(d) 
		Signature of Second Holder 
		Signature of Third Holder 

MOBILE NO. AND E-MAIL ID DECLARATION BY FAMILY ACCOUNT

To,

Date : _____

MICROCURVES TRADING PRIVATE LIMITED

Registered Office : Unit No. 208 & 209, 2nd Floor, Xchange Plaza,
Dalal Street Commercial Co-operative Society Ltd., Block No. 53, Road 5E,
GIFT City, Gandhi Nagar, Gujarat - 382355

We are having following trading & demat accounts with Microcurves Trading Private Limited. We do hereby declare that our family contact no. is _____ and our family E-mail id is _____.

We hereby authorize Microcurves Trading Private Limited to send us any information such as alert/SMS/call/ email etc. at above mentioned contact no. and email id.

We declare that this contact no. and E-mail id belong to our family consisting of persons as per following detail.

S.No.	Code	Name	Relation

I hereby declare that the aforesaid mobile number or E-mail ID belongs to ☐ Me or ☐ My Family
(Spouse, dependent children and dependent parents)

I further declare that the above mentioned statement is true and correct.

Yours Faithfully,

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(Client Signature)

OPTION FORM FOR ISSUE OF DIS BOOKLET

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

DP ID	1	2	0	9	8	8	0	0	Client ID							
First Holder Name																
Second Holder Name																
Third Holder Name																

To,

MICROCURVES TRADING PRIVATE LIMITED

Registered Office : Unit No. 208 & 209, 2nd Floor, Xchange Plaza,
Dalal Street Commercial Co-operative Society Ltd., Block No. 53, Road 5E,
GIFT City, Gandhi Nagar, Gujarat - 382355




Dear Sir / Madam,

I / We hereby state that : [Select one of the options given below]

☐ **OPTION 1 :**

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us on opening my / our CDSL account through I / We have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with _____ (name of attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager.

Yours faithfully




	First / Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures	35 		

OR

☐ **OPTION 2 :**

I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with _____ (name of attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later day.

Yours faithfully

	First / Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures	36 		

MICROCURVES TRADING PRIVATE LIMITED

SEBI REGN. NO. : IN-DP-744-2023 • DP ID 12098800

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SCHEDULE OF SERVICES CHARGES FOR DP SERVICES AS ON DATE 01-09-2023

SCHEME	SCHEME – A	SCHEME – B (For Individual A/c only) Rs. 1000/- (Non Refundable) for Nil Life Time AMC
1. Account Opening Charges	Nil	Nil
2. Account Maintenance Charges	Rs. 200/- per annum (For Individual Account) Rs. 1500/- per annum (For Corporate Account)	Nil
3. Stamp Paper & KRA Charges	As per applicable	As per applicable
4. Dematerialisation Charges	Rs. 50/- Per DRF plus Rs. 5/- per certificate	Rs. 50/- Per DRF plus Rs. 5/- per certificate
5. Rematerialisation Charges	Rs. 25/- per every 100 securities or part thereof; or a flat fee of Rs. 20/- per certificate whichever is higher will be payable plus courier charges	Rs. 25/- per every 100 securities or part thereof; or a flat fee of Rs. 20/- per certificate whichever is higher will be payable plus courier charges
6. Custody	Nil	Nil
7. Transaction Charges (per transaction) (a) Receipt/Purchase (b) Delivery/Sale	Nil 0.01% (Minimum Rs.15/-)	Nil 0.01% (Minimum Rs.15/-)
8. Pledge Charges (per transaction) Creation Invocation/Closure	Rs. 50/-	Rs. 50/-
9. Margin Pledge per transaction/ Release of Margin Pledge Margin Re-Pledge per transaction/ Release of Margin Re-Pledge	Rs. 15/- Rs. 10/-	Rs. 15/- Rs. 10/-
10. Easiest Subscribers (Password User)	Rs. 100/- p.a.	Rs. 100/- p.a.
11. Instruction Booklet (1st Book Free)	Rs. 40/- plus courier charges	Rs. 40/- plus courier charges

In addition to the above, the following out of pocket expenses shall also be charged.

- Failed Instruction Intimation : Rs. 20/- per intimation per instruction
- Additional Statement of Holding/Transaction : Rs. 5/- per page + Postage as per actuals.
- Incase of demat rejection, postage charges shall be charged @ Rs. 35/- for dispatch of share certificates to the clients.
- Any Modification in client master will be charged @ Rs. 50/- per modification.
- Any other services not specified above shall be charged extra.
- Taxes and other government fee/charges shall be levied extra, as applicable from to time.
- Charges for DP services in respect of clients having trading account/s with **Microcurves Trading Private Limited** will be debited to their trading accounts.
- Late payment charges @ 24% p.a. shall be levied.
- Microcurves Trading Private Limited** may suspend/freeze the depository services of the account holder in case of non-payment of outstanding bill till the time of actual clearance of debit balance.
- Above charges are subject to revision as per Microcurves Trading Private Limited sole discretion after providing 30 days' prior notice.

Please Tick : ☐ Scheme – A ☐ Scheme – B

Signatures

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Sole/First Holder/Signatory's Signature

Second Holder/Signatory's Signature

Third Holder/Signatory's Signature

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CHECK LIST FOR CLIENT REGISTRATION FORM

FORM RECEIVING DATE : ACCOUNT OPENING DATE : TM DP	BRANCH CODE : RL/TL SUB BRANCH CODE :	CLIENT CODE TM : DP :
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I.	CHECKING DETAILS	YES	REMARKS
a)	Name as it appears on the ID & Address Proof (in capital letter)		
b)	Signature of Client on all pages and wherever necessary (Witness wherever required) <input type="checkbox"/>		
c)	Signature Checked and Verified.		
d)	Photograph (duly signed) <input type="checkbox"/>		
e)	A copy of PAN Card (Self Attested) <input type="checkbox"/>		
f)	Address Proof (Self Attested) <input type="checkbox"/>		
g)	Bank Proof containing Client Name (Self Attested) <input type="checkbox"/>		
h)	Demat Account Proof (Self Attested) <input type="checkbox"/>		
i)	Stamp Paper : <input type="checkbox"/>		
j)	Exchange given : <input type="checkbox"/> NSE CM <input type="checkbox"/> NSE F&O <input type="checkbox"/> NSE CDS		
2.	Telephonic confirmation of P particulars done by _____ Date _____ Time _____ On Phone No. _____		
3.	Details Punched in Computer by _____		
4.	Cross Checking done by _____		
5.	<div style="display: flex; justify-content: space-between;"> <div> UCC UPLOADED : <input type="checkbox"/> NSE </div> <div> <input type="checkbox"/> ENTERED IN FORM DATA </div> </div>		
6.	BACK OFFICE WEB LOGIN User Name ID _____ Password _____		
7.	DP WEB LOGIN User Name ID _____ Password _____		
8.	Form sent to Surveillance by _____ Date : _____ Time : _____		
9.	Client ID Mapping done by _____ Branch ID _____ User ID: _____ Dealer ID : _____		
10.	INTERNET TRADING <input type="checkbox"/> Odin Diet <input type="checkbox"/> I-Net <input type="checkbox"/> Fastrade User ID _____ Password _____		
11.	Client Account Status Report issued by _____		
12.	Form Returned to Compliance by _____ Date : _____ Time : _____		
13.	Kit Dispatched on _____ (Date) _____ Pod No. _____		

(For Office use only)

1		7	
2		8	
3		9	
4		10	
5		11	
6		12	

MICROCURVES TRADING PRIVATE LIMITED

CIN : U93000GJ2014PTC124048

Member : NATIONAL STOCK EXCHANGE OF INDIA LTD.

(Cash, F&O and Currency Derivative Segment)

SEBI Regn. No.: INZ000298936, Member ID : 90224

Depository Participant : CDSL • DP ID : 12098800

DP SEBI Regn. No.: IN-DP-744-2023

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